



Marie Skłodowska-Curie Actions From Association to Participation

Research and Innovation Staff Exchange (RISE)

Reykjavik, 5 September 2016



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DG Education and Culture

MSCA – RISE 2016

Budget → **80 M€**

Schedule

- *Call Opens:* 8 December 2015
- *Call Deadline:* 28 April 2016
- *Evaluation week:* 27 June – 1 July 2016
- *Launch Grant preparation:* End August 2016

MSCA – RISE 2017

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RISE: Main Aspects

- New type of **exchange-of-staff-action** to stimulate transfer of knowledge
- **Academic** and **non-academic** participants
- **Flexible** inter-sector and international (outside Europe) exchange of highly skilled research and innovation staff members
- Project built on **joint** research and innovation activities
- **Bottom up** approach – no predefined scientific priorities
- Project implemented through the **secondment** of staff (no recruitments)
- Each staff member seconded for a period of **1 to 12 months**
- The **maximum size** for a project is 540 person months
- **No minimum size** explicitly defined for the project, but substantial impact is expected
- Maximum project duration is **4 years**



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RISE supports two possible dimensions

Funding for two types of exchanges/secondments:

1. International: Between Europe (MS/AC) and outside Europe (TC).



2. Inter-sectoral: academic and non-academic sectors



Participation

- **All countries** can participate in RISE
- **All nationalities** can participate in RISE
- **All institutions** fulfilling the requirements of the Horizon 2020 Rules for Participation can participate in RISE

Specificities on participation – contractual obligations

Beneficiaries

- Sign the Grant Agreement and **claim costs**
- Are responsible for the execution of the programme
- Are established in a **MS/AC**

Partner Organisations

- Do not sign the Grant Agreement and do not claim costs
- Must include a **letter of commitment** in the proposal
- Are established in a **TC**

Specificities on participation - sectors

- The sector of an organisation is defined automatically
- Academic Sector
 - Higher education establishments (public or private)
 - Non-profit research organisations (public or private)
 - International European interest organisations
- Non-Academic Sector
 - Any socio-economic actor not included in the academic sector and fulfilling the requirements of the *Horizon 2020 Rules for Participation* (typical examples: multinationals, NGOs, museums, etc.)

Staff members

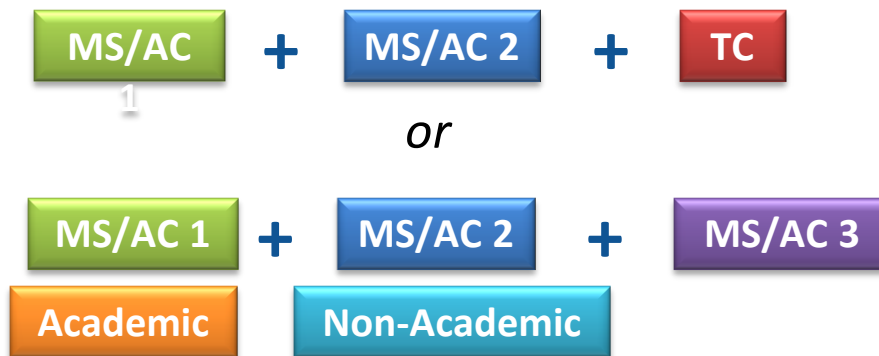
- Actively engaged in or linked to research/innovation activities for at least 6 months prior to first secondment
- Types of staff members:
 - ESR (no PhD and < 4 years experience)
 - ER (PhD or > 4 years experience)
 - Managerial staff
 - Administrative or Technical staff
- In-built return mechanism

Eligibility conditions

At least **3 independent participants** in 3 different countries.
(At least 2 participants from **2 different MS/AC**)

If all in MS/AC: at least 1 academic and 1 non-academic

In practice, 2 possible minimum settings:



Countries eligible for EU Funding

- EU Member States
- Overseas Countries and Territories linked to the MS
(As defined on page 3 of General Annex A to the Horizon 2020 Work Programme 2015-2016)
- Horizon 2020 Associated Countries
(subject to the adoption of the association agreements)
- The Third Countries listed
(On page 3 of **General Annex A** to the Horizon 2020 Work Programme 2015-2016)

Countries not eligible for EU Funding

Countries not listed previously are **not eligible for EU funding**

In practice, those countries are mainly: Australia, Brazil, Canada, China, India, Japan, Mexico, New Zealand, Republic of Korea, Russia, United States.

In **very exceptional cases**, partners from those countries might be funded. But the following conditions have to be fulfilled:

- a) Partners have competences/expertise that no organisation in MS/AC have
- b) Relevant transfer of knowledge can only be done via a secondment in the direction TC → MS/AC
- c) Points a) and b) must be endorsed by the expert evaluators
- d) Experts' endorsement (point c) must be confirmed by the REA

EU contribution

Unit costs **per researcher per month** of secondment

For secondments eligible for funding

Marie Skłodowska-Curie Action	Staff member unit cost * <i>person/month</i>	Institutional unit cost * <i>person/month</i>	
		Research, training and networking costs	Management and indirect costs
Research and Innovation Staff Exchange	2 000	1 800	700

*These unit costs are subject to a funding rate of 100% and no country coefficients apply.

EU contribution

Staff member unit cost is intended to support the travel, accommodation and subsistence costs linked to the respective secondments. Participants are expected to continue paying the salary of the seconded staff during the period of exchange.

Ensure that the EU contribution is fully used for the benefit of the seconded staff members.

EU contribution can be:

- paid directly to the seconded staff member, or
- managed centrally by the beneficiary according to the specific needs of the secondment.

Detailed arrangements for the use of the contribution may also be agreed internally between beneficiaries and partner organisations, to address the specific financial needs of each secondment.

EU contribution

Research, training and networking costs cover the costs of research and innovation related activities of the project such as purchasing of consumables, laboratory costs, participation to conferences, workshops, coordination and review meetings, and networking activities.

Management and indirect costs cover all general costs connected with the organisation and implementation of the secondments (administrative and financial management, logistics, ethics, human resources, legal advice, documentation, etc.).

Split Secondments

- The minimum length of 1 month is reached if either:
 - a. The secondment **starts** on **day n** of a month (month N) and **ends** on **day $n-1$** of the **next month** (month $N+1$) – If there is only 1 period of secondment (or several consecutive ones)

or

- b. The duration of the several secondments periods adds up to **30 days** – If there are at least 2 non-consecutive periods of secondment

Split Secondments

- EU funding is to be paid as a pro-rata of 30 days, in case part of a secondment is not a full month.
- A full month is reached if the secondment starts on day n in month N and ends day $n-1$ in month $N+1$
- When a full month is not reached, the remaining days are a pro-rata of 30 days (each of the 3 categories: 2000, 1800, 700 is paid as pro-rata).

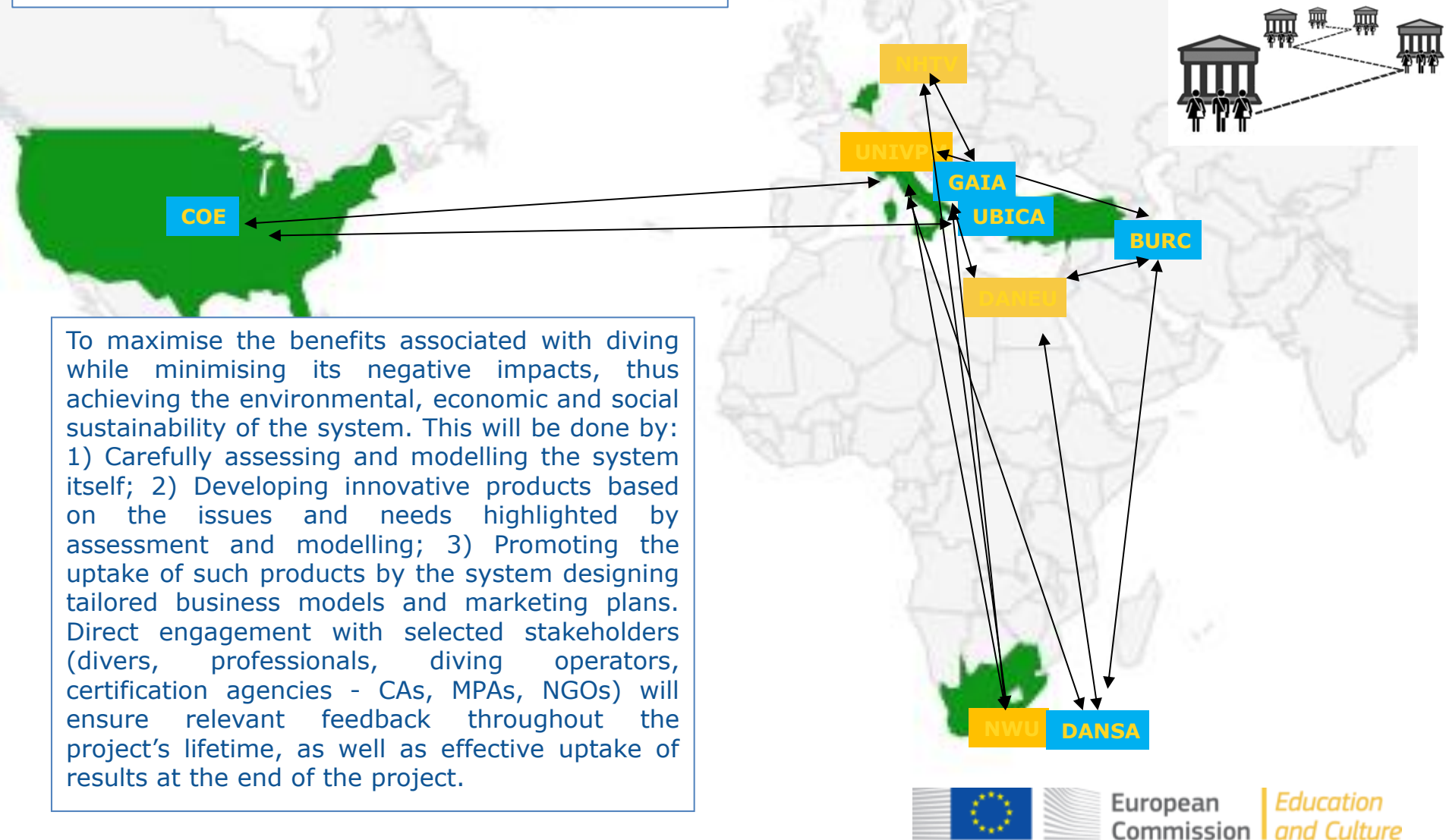
Example from RISE 2015

	Average	MAX	MIN
EU Contribution	783.933	2.430.000	144.000
Secondments	174	540	32
Participants	7	24	3

GREEN BUBBLES – example of a RISE project

Total EC Contribution = 1,611,000 EUR / 48 months

Total eligible researcher months = 358



Evaluation

Evaluation Panels:

- Chemistry (CHE)
- Social Sciences and Humanities (SOC)
- Economic Sciences (ECO)
- Information Science and Engineering (ENG)
- Environment and Geosciences (ENV)
- Life Sciences (LIF)
- Mathematics (MAT)
- Physics (PHY)

Evaluation Criteria:

- Award Criteria
- Selection Criteria

<u>RISE: Marie Skłodowska-Curie Research and Innovation Staff Exchange</u>		
Excellence	Impact	Quality and efficiency of the implementation
Quality and credibility of the research/innovation project; level of novelty and appropriate consideration of inter/multidisciplinary, intersectoral and gender aspects	Enhancing the potential and future career perspectives of the staff members	Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources
Quality and appropriateness of knowledge sharing among the participating organisations in light of the research and innovation objectives.	Developing new and lasting research collaborations, achieving transfer of knowledge between participating organisations and contribution to improving research and innovation potential at the European and global levels	Appropriateness of the management structures and procedures, including quality management and risk management
Quality of the proposed interaction between the participating organisations	Quality of the proposed measures to exploit and disseminate the project results	Appropriateness of the institutional environment (hosting arrangements, infrastructure)
	Quality of the proposed measures to communicate the project activities to different target audiences	Competences, experience and complementarity of the participating organisations and their commitment to the project
50%	30%	20%
Weighting		
1	2	3
Priority in case of ex aequo		

Selection Criteria

- Operational capacity has to be checked
- Proposals must include a description of:
 - ✓ The **profile of the people** who will be primarily responsible for carrying out the proposed work
 - ✓ Any significant **infrastructure** or major items of technical equipment, relevant to the proposed work
 - ✓ Any **partner organisations** (not beneficiaries) contributing towards the proposed work

Proposal - Part A

- **Section 1:** General information (including abstract)
- **Section 2:** Information on participants
- **Section 3:** Budget and Secondments tables
- **Section 4:** Ethics table

Proposal - Part B

DOCUMENT 1 (MAX 31 PAGES) (including data for non-academic beneficiaries)

START PAGE (1 page)

START PAGE COUNT (MAX 30 PAGES SECTIONS 1-3)

1. EXCELLENCE (*starting page 2*)
2. IMPACT
3. QUALITY AND EFFICIENCY OF THE IMPLEMENTATION

} 30 pages limit

STOP PAGE COUNT (MAX 30 PAGES SECTIONS 1-3)

DOCUMENT 2 (NO OVERALL PAGE LIMIT APPLIED)

4. REFERENCES
5. CAPACITIES OF THE PARTICIPATING ORGANISATIONS
6. ETHICS ASPECTS
7. LETTERS OF COMMITMENT OF PARTNER ORGANISATIONS

END PAGE (1 page)

RISE 2015 compared to RISE 2014: Submitted & A-list proposals



Success rate:
2014 41%
2015 25%



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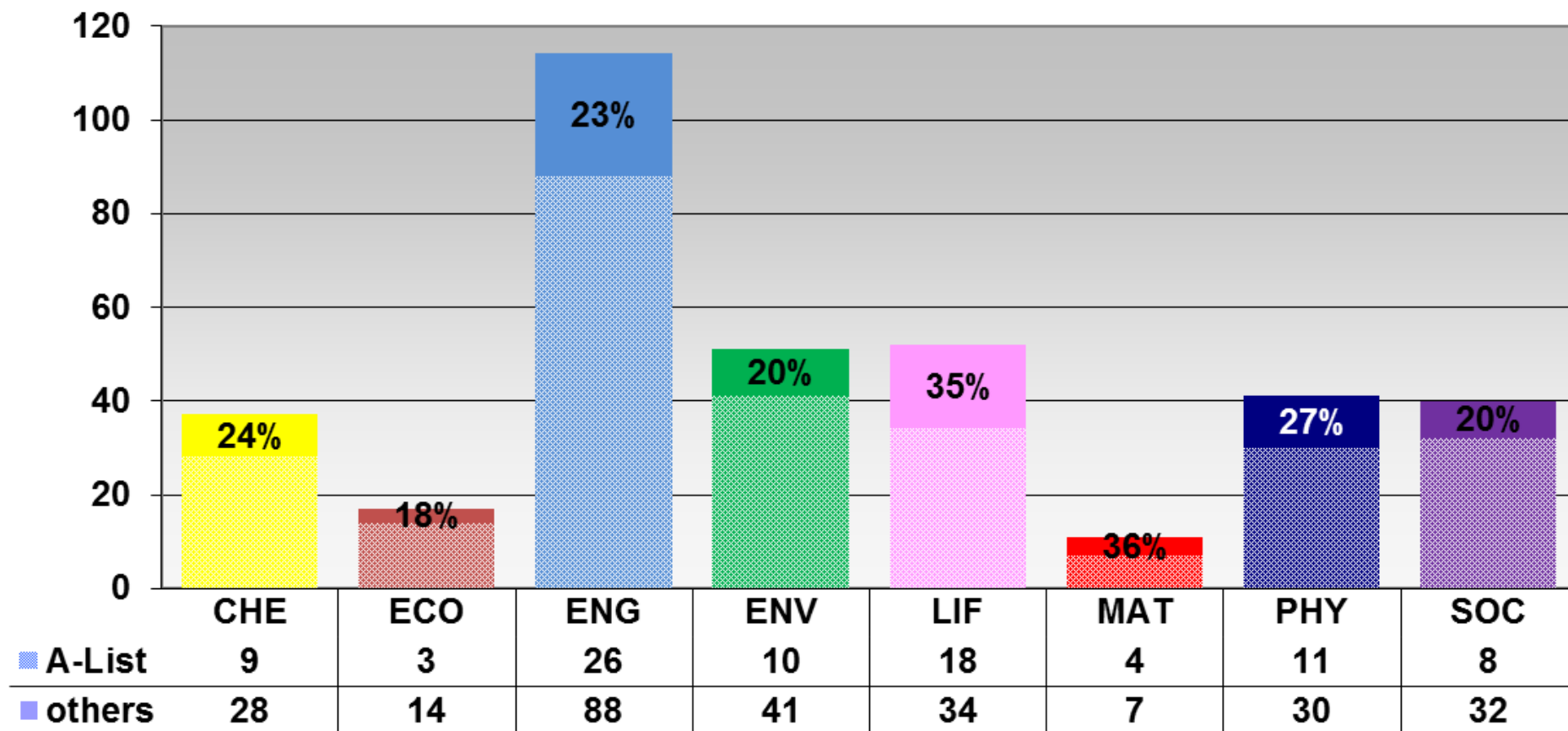
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Success Rate in RISE 2015 – EU MS

	No. Beneficiaries				
	Country	Acad	NonAcad	Total	total success rate
Member States	AT	12	14	26	52,0%
	BE	11	3	14	36,8%
	BG	1	3	4	13,3%
	CY	5	2	7	25,9%
	CZ	8	0	8	24,2%
	DE	37	18	55	33,5%
	DK	6	0	6	22,2%
	EE	1	0	1	9,1%
	EL	20	15	35	33,3%
	ES	33	15	48	21,6%
	FI	7	5	12	35,3%
	FR	38	20	58	33,3%
	HR	3	1	4	18,2%
	HU	2	1	3	9,4%
	IE	6	8	14	26,4%
	IT	54	12	66	24,2%
	LT	0	1	1	3,7%
	LU	2	1	3	100,0%
	LV	2	0	2	12,5%
	MT	0	0	0	0,0%
	NL	10	3	13	22,8%
	PL	9	2	11	13,1%
	PT	15	6	21	21,2%
	RO		3	3	9,7%
	SE	10	3	13	25,0%
	SI	1	2	3	8,8%
	SK	3	2	5	18,5%
	UK	63	15	78	29,2%
	Grand Total	359	155	514	25,8%

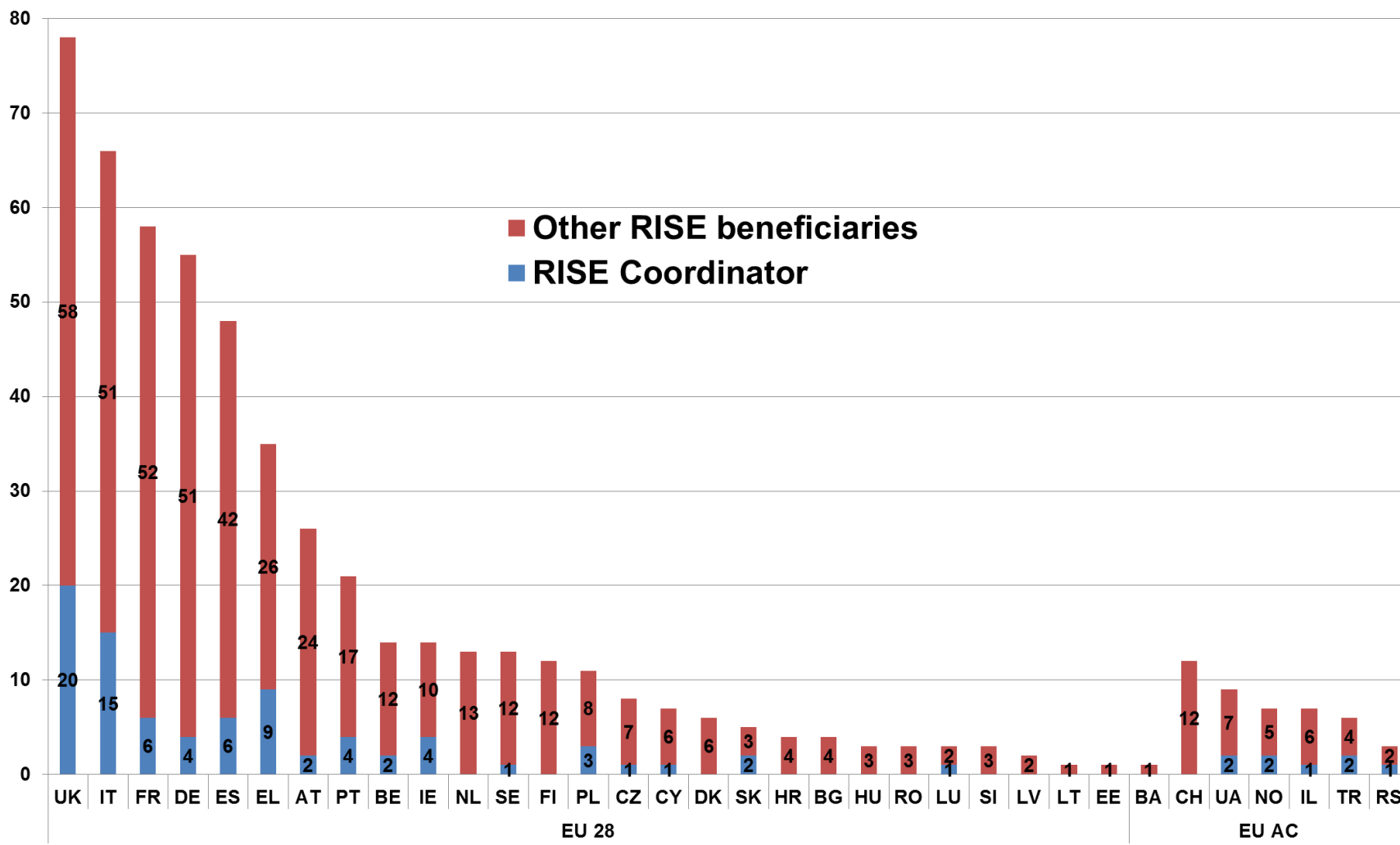


RISE 2015: Submitted and A-list proposals by panel

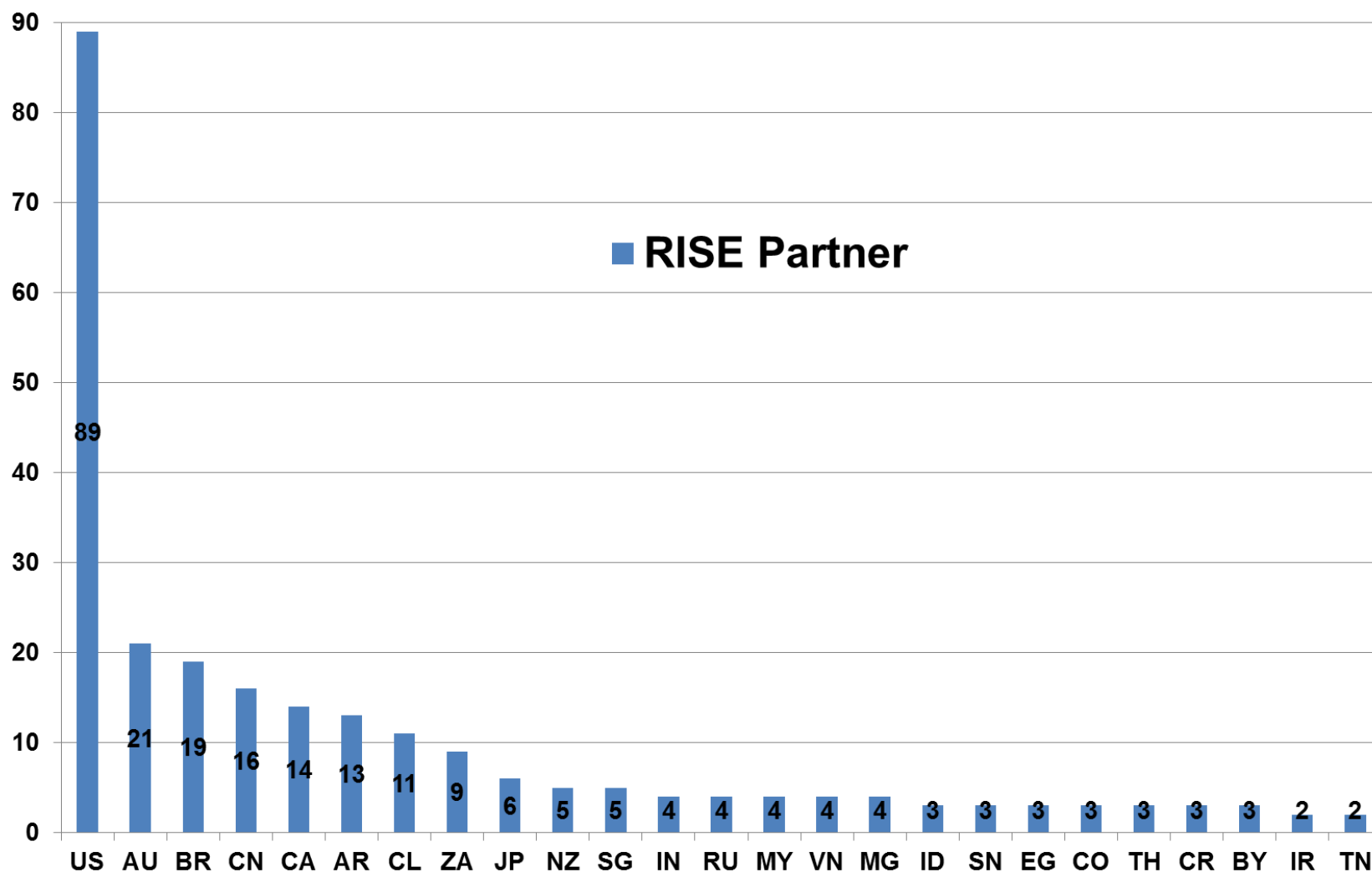


Overall success rate 25%

MSCA – RISE 2015: MS and AC participants in A-listed proposals



MSCA – RISE 2015: TC partners



Where to find information?

Marie Skłodowska-Curie Actions Website

<http://ec.europa.eu/mariecurieactions>

Horizon 2020

<http://ec.europa.eu/research/horizon2020>

Participant Portal (applications)

<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>

Thank you for your attention

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